

# Higher Order Surfaces

---

Polygons may not be the best modeling primitive

3) Polygonal models have a fixed resolution

5) Parameterization of polygonal models is hard

7) Differential operations on polygons are hard

9) Deformations of polygonal models are hard

# Parametric Curves

---

Parametric Curve

$$Q(u) = (X(u), Y(u), Z(u))$$

- + Analytic form
- + Concise
- + Easily deformable
- + Variable resolution
- Not obvious how to render
- Hard to extract from point data (3D scanners...)

# Parametric Curves

---

Parametric Polynomial Curve (order  $k+1$ )

$$Q(u) = a_0 + a_1u^1 + \dots + a_ku^k$$

Usually use cubics ( $k = 3$ )

$$Q(u) = a_0 + a_1u + a_2u^2 + a_3u^3$$

- Lower orders are not  $C^2$  continuous
- Higher orders may have unwanted oscillations

Specifying the coefficients  $a_i$  is hard

# Using Basis Functions

---

Transform to another basis

$$\begin{aligned} Q(u) &= a_0 + a_1u + a_2u^2 + a_3u^3 \\ &= p_0b_0(u) + p_1b_1(u) + p_2b_2(u) + p_3b_3(u) \\ &= \sum_{i=0}^3 p_i b_i(u) \end{aligned}$$

$p_i$  are known as control points

# Properties of Basis Functions

---

Convex Hull Property

$$\sum_{i=0}^k b_i(u) = 1, \quad b_i(u) \geq 0$$

Affine Invariance

$$\Phi(Q(u)) = \Phi\left(\sum_{i=0}^k p_i b_i(u)\right) = \sum_{i=0}^k \Phi(p_i) b_i(u)$$

# Extension to Surfaces

---

Surface is just a tensor product

$$Q(u, v) = \sum_{i=0}^k \sum_{j=0}^k p_{ij} b_i(u) b_j(v)$$

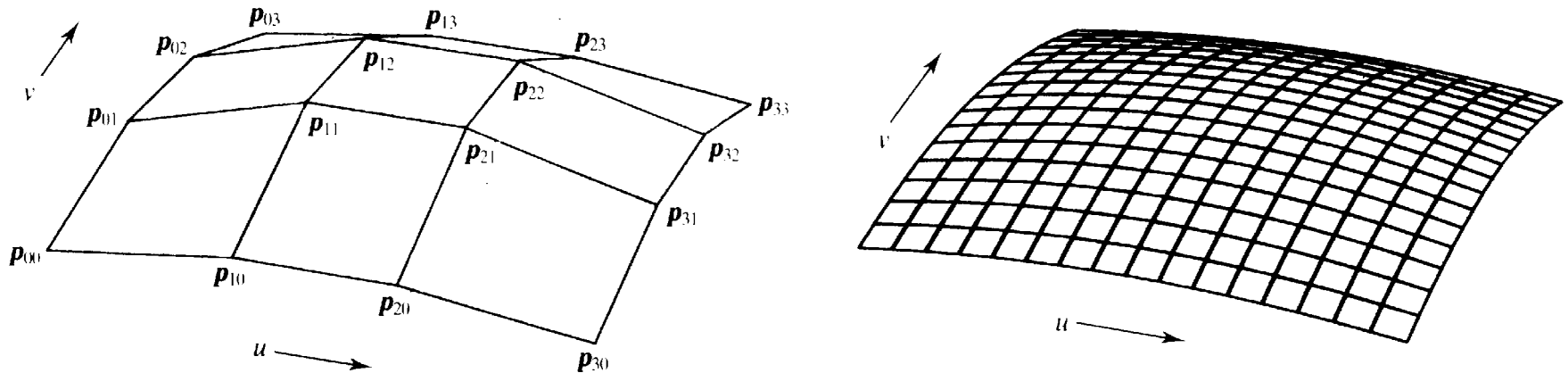


Image courtesy of Watt & Watt, Advanced Animation and Rendering Techniques

# Bezier Curves

---

Use Bernstein polynomials as basis functions

$$Q(u) = \sum_{i=0}^n p_i B_{i,n}(u)$$

$$B_{i,n}(u) = \frac{n!}{i!(n-i)!} u^i (1-u)^{n-i}$$

# Bezier Curves

---

Cubic case

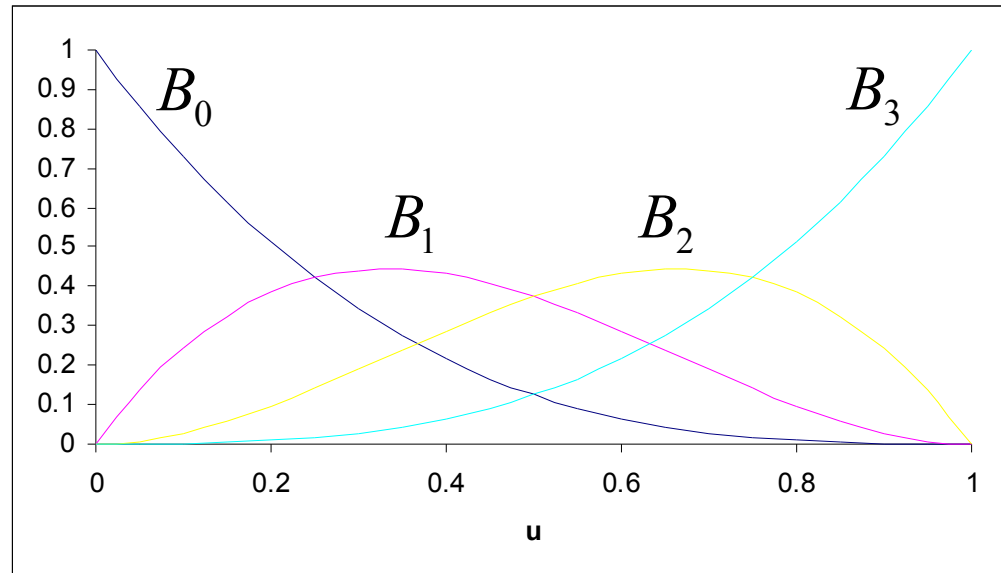
$$Q(u) = \sum_{i=0}^3 p_i B_{i,3}(u)$$

$$B_{0,3}(u) = (1-u)^3$$

$$B_{1,3}(u) = 3u(1-u)^2$$

$$B_{2,3}(u) = 3u^2(1-u)$$

$$B_{3,3}(u) = u^3$$





# Bezier Curves

---

## Matrix Form

$$Q(u) = UMP = \begin{bmatrix} u^3 & u^2 & u & 1 \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 3 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} p_0 \\ p_1 \\ p_2 \\ p_3 \end{bmatrix}$$

$$\begin{bmatrix} B_0(u) & B_1(u) & B_2(u) & B_3(u) \end{bmatrix} = UM$$

# Differentiation of Bezier Curves

---

Derivative with respect to  $u$

$$Q_u(u) = \sum_{i=0}^n p_i \left( \frac{d}{du} B_{i,n}(u) \right)$$

Endpoint derivatives

$$Q_u(0) = n(p_1 - p_0)$$

$$Q_u(1) = n(p_n - p_{n-1})$$

# Properties of Bezier Curves

---

Endpoint Interpolation

$$Q(0) = p_0 \quad Q(1) = p_n$$

Endpoint Derivatives

$$Q_u(0) = n(p_1 - p_0) \quad Q_u(1) = n(p_n - p_{n-1})$$

Convex Hull Property

$$\sum_{i=0}^n B_{i,n}(u) = 1$$

Affine Invariance

$$\Phi(Q(u)) = \sum_{i=0}^n \Phi(p_i) B_{i,n}(u)$$

# Degree Elevation of Bezier Curves

---

Create an degree  $n+1$  curve from a degree  $n$  curve:

$$P_0, P_1, P_2, \dots, P_{n-1}, P_n$$



$$P_0, \frac{P_0 + P_1}{n+1}, \frac{2P_{n-1} + (n-1)P_n}{n+1}, \dots, \frac{nP_{n-1} + P_n}{n+1}, P_n$$

# Joining of Bezier Curves

---

Join two Bezier curves with control points:

$$Q_1(u) : p_i \qquad Q_2(u) : r_i$$

$$C^0 \text{ continuity: } p_n = r_0$$

$$G^1 \text{ continuity: } (p_n - p_{n-1}) = k(r_1 - r_0)$$

$$C^1 \text{ continuity: } (p_n - p_{n-1}) = (r_1 - r_0)$$

# Rendering Bezier Curves

---

De Casteljau representation:

$$Q(u) = p_0^n(u)$$

where

$$p_i^r(u) = (1-u)p_i^{r-1}(u) + u p_{i+1}^{r-1}(u)$$

$$p_i^0(u) = p_i$$

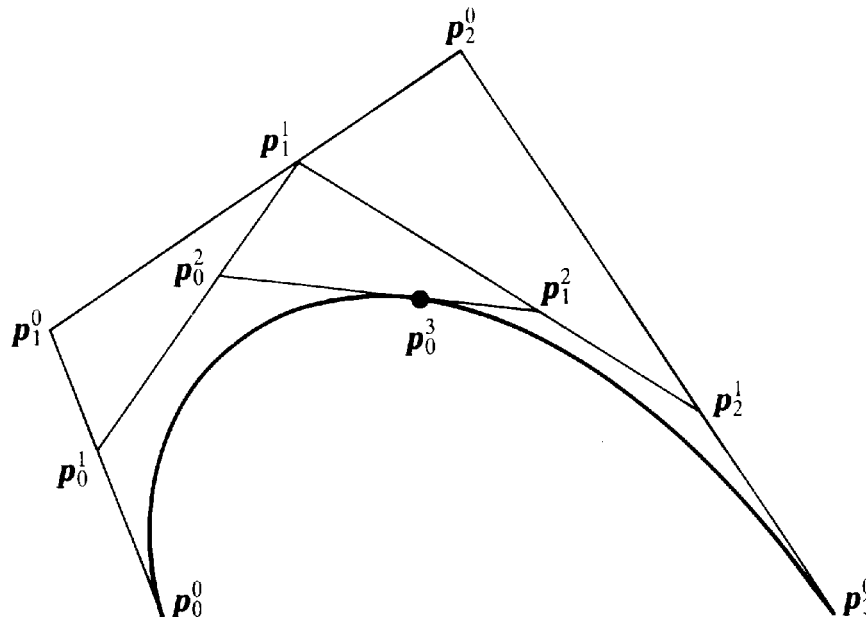
# Rendering Bezier Curves

---

De Casteljau actually subdivides the curve in 2:

$$Q_a(u) = p_0^i(u) \quad i = 0..n$$

$$Q_b(u) = p_i^{n-i}(u) \quad i = 0..n$$



# Rendering Bezier Curves

---

Midpoint subdivision ( $u=0.5$ ) ( $q,r$  are the new curves)

$$q_0 = p_0$$

$$r_0 = q_3$$

$$q_1 = \frac{p_0 + p_1}{2}$$

$$r_1 = \frac{r_2}{2} + \frac{p_1 + p_2}{4}$$

$$q_2 = \frac{q_1}{2} + \frac{p_1 + p_2}{4}$$

$$r_2 = \frac{p_2 + p_3}{2}$$

$$q_3 = \frac{q_2 + r_1}{2}$$

$$r_3 = q_3$$



# Bezier Patches

---

Tensor Product of Bezier Curves

$$Q(u, v) = \sum_{i=0}^n \sum_{j=0}^n p_{ij} B_{i,n}(u) B_{j,n}(v)$$

Matrix Form

$$Q(u, v) = U M P M^T V^T$$

$P$  is now a matrix

# Differentiation of Bezier Patches

---

Endpoint derivatives

$$Q_u(0,0) = n(p_{10} - p_{00})$$

$$Q_v(0,0) = n(p_{01} - p_{00})$$

$$Q_{uv}(0,0) = n^2(p_{00} - p_{01} - p_{10} + p_{11})$$

Other endpoint derivatives have similar forms

# Joining Bezier Patches

---

Join two patches

$$Q_1(u, v) : p_{ij} \quad Q_2(u, v) : r_{ij}$$

$$C^0 \text{ continuity: } p_{nj} = r_{0j}$$

$$G^1 \text{ continuity: } (p_{ni} - p_{(n-1)i}) = k(r_{1i} - r_{0i})$$

$$C^1 \text{ continuity: } (p_{ni} - p_{(n-1)i}) = (r_{1i} - r_{0i})$$

# Rendering Bezier Patches

---

## Patch Splitting

$$\begin{aligned} Q(u, v) &= \sum_{i=0}^n \sum_{j=0}^n p_{ij} B_{i,n}(u) B_{j,n}(v) \\ &= \sum_{j=0}^n B_{j,n}(v) \sum_{i=0}^n p_{ij} B_{i,n}(u) \end{aligned}$$

This means that we can split in each direction separately

# Rendering Bezier Patches

---

## Splitting Termination

Stop when the patch is sufficiently planar

- make a plane out of 3 vertices

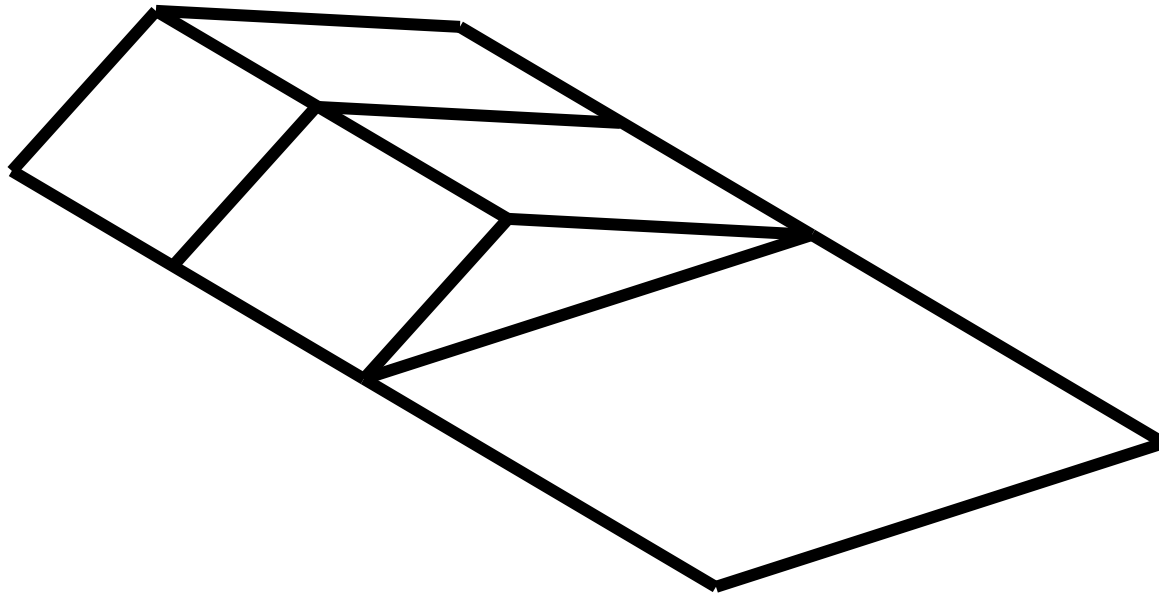
- and measure how far the others are from the plane

Stop when the patch is sufficiently small

# Rendering Bezier Patches

---

## Patch Cracking



When one patch is subdivided independently of another, cracking may occur!!!

# Changing Bases

---

Converting from one basis to another

$$Q(u) = UM_1P_1 \quad Q(u) = UM_2P_2$$

$$UM_1P_1 = UM_2P_2$$

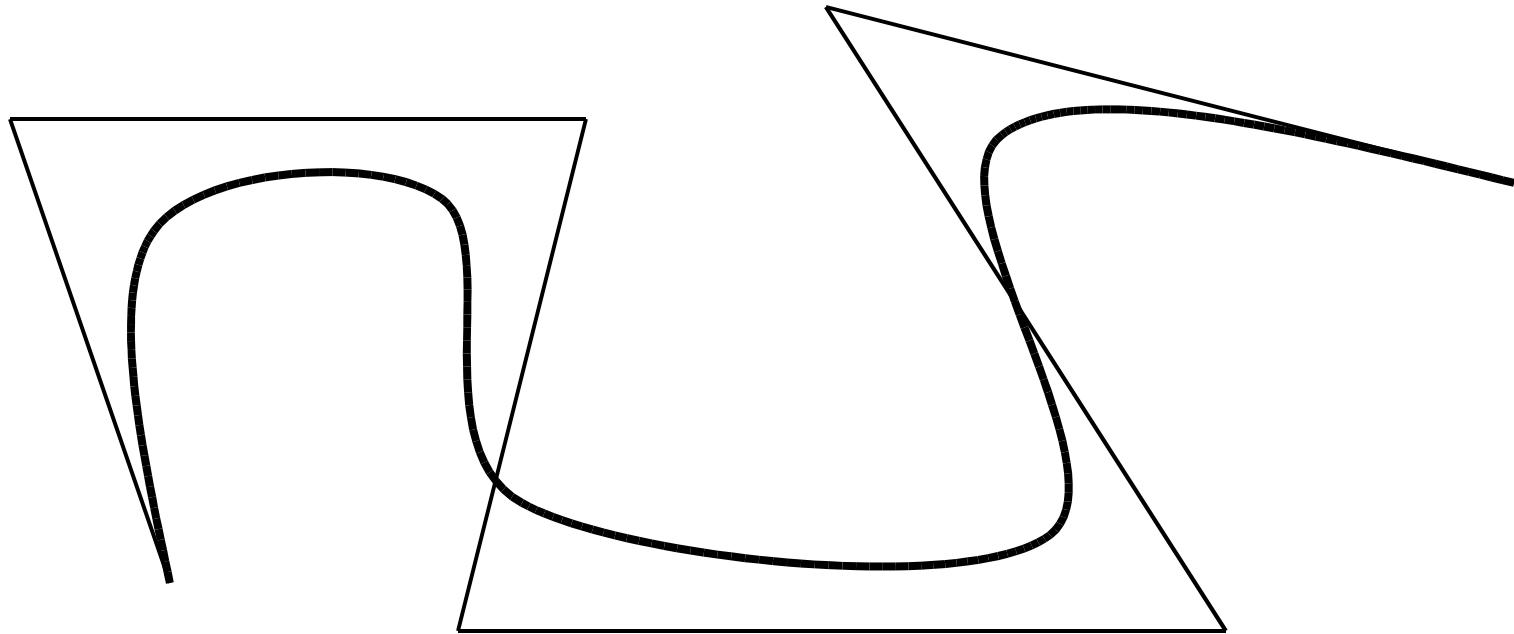
$$M_1P_1 = M_2P_2$$

$$P_1 = M_1^{-1}M_2P_2$$

# B Splines

---

Derive a piecewise cubic curve with  $C^2$  continuity





# B Splines

---

Segment  $Q_i$  is defined by the control points:

$$Q_i : P_i, P_{i+1}, P_{i+2}, P_{i+3}$$

Segment  $Q_{i+1}$  is defined by the control points:

$$Q_{i+1} : P_{i+1}, P_{i+2}, P_{i+3}, P_{i+4}$$

**B-spline segments share control points**

# B Splines

---

Segment  $Q_i$  defined as:

$$Q_i(u) = \sum_{k=0}^3 p_{i+k} B_k(u)$$

Segment  $Q_{i+1}$  defined as:

$$Q_{i+1}(u) = \sum_{k=0}^3 p_{i+k+1} B_k(u)$$

# B Splines

---

$C^0$  continuity implies  $Q_i(1) = Q_{i+1}(0)$

$$\sum_{k=0}^3 p_{i+k} B_k(1) = \sum_{k=0}^3 p_{i+k+1} B_k(0)$$

Equating coefficients

$$B_0(1) = 0$$

$$B_1(1) = B_0(0)$$

$$B_2(1) = B_1(0)$$

$$B_3(1) = B_2(0)$$

$$0 = B_3(0)$$

# B Splines

---

$C^1$  continuity

$$B_0'(1) = 1$$

$$B_1'(1) = B_0'(0)$$

$$B_2'(1) = B_1'(0)$$

$$B_3'(1) = B_2'(0)$$

$$0 = B_3'(0)$$

$C^2$  continuity

$$B_0''(1) = 1$$

$$B_1''(1) = B_0''(0)$$

$$B_2''(1) = B_1''(0)$$

$$B_3''(1) = B_2''(0)$$

$$0 = B_3''(0)$$

# B Splines

---

15 equations and 16 unknowns

Need an additional constraint

$$B_0(0) + B_1(0) + B_2(0) + B_3(0) = 1$$

# B Splines

---

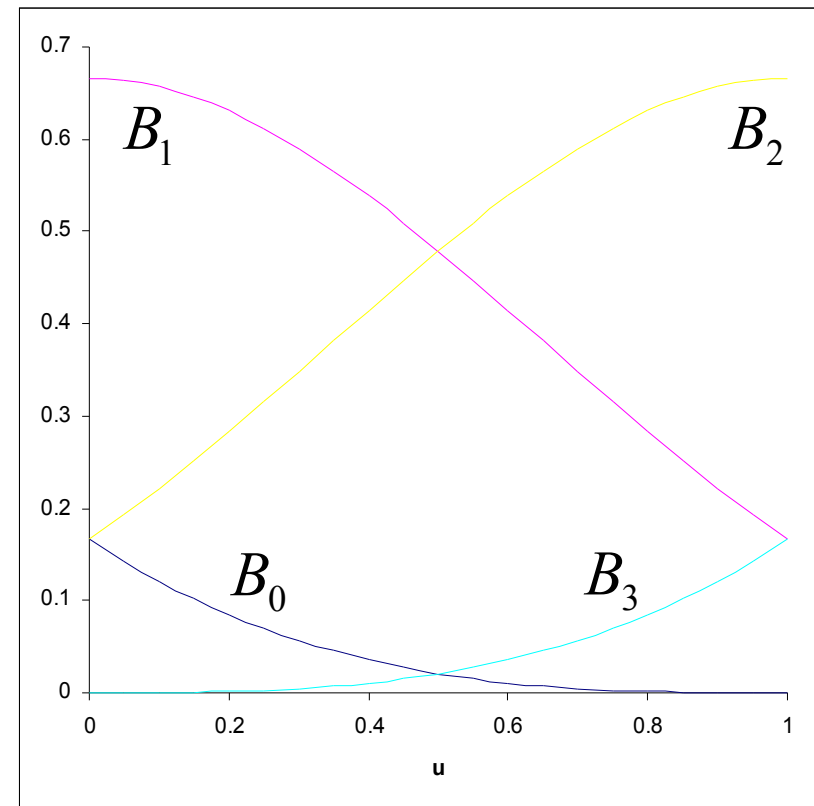
Solving yields

$$B_0(u) = \frac{1}{6}(1-u)^3$$

$$B_1(u) = \frac{1}{6}(3u^3 - 6u^2 + 4)$$

$$B_2(u) = \frac{1}{6}(-3u^3 + 3u^2 + 3u + 1)$$

$$B_3(u) = \frac{1}{6}u^3$$



# B Splines

---

Matrix form

$$Q_i(u) = UMP$$

$$= \begin{bmatrix} u^3 & u^2 & u & 1 \end{bmatrix} \begin{bmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{bmatrix} \begin{bmatrix} p_i \\ p_{i+1} \\ p_{i+2} \\ p_{i+3} \end{bmatrix}$$

# Uniform B Splines

---

Given a local  $u$  for the  $i^{th}$  curve segment

$$u \in [0,1] \quad i = 0, \dots, n-3$$

Find a global parameterization  $U$

$$U = u + i \quad U \in [0, n-2]$$



# Uniform B Splines

---

Control point  $p_i$  is involved in the segments

$$Q_{i-3}, Q_{i-2}, Q_{i-1}, Q_i$$

weighted by the functions

$$B_3, B_2, B_1, B_0$$

Collect these into a single blending function

# Uniform B Splines

---

Blending functions

$$Q(U) = \sum_{i=0}^n p_i N_i(U)$$

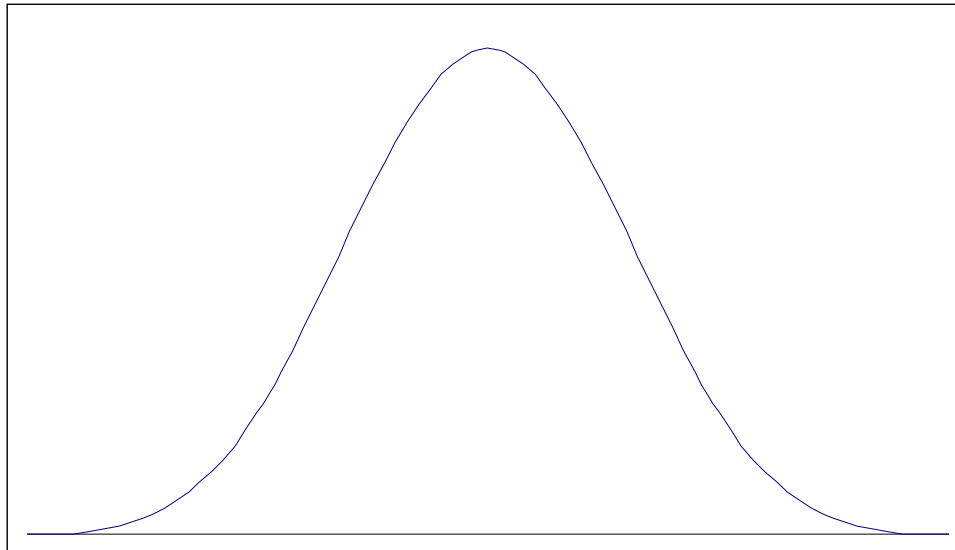
$$N_i(U) = \begin{cases} B_3(U - (i-3)) & i-3 \leq U \leq i-2 \\ B_2(U - (i-2)) & i-2 \leq U \leq i-1 \\ B_1(U - (i-1)) & i-1 \leq U \leq i \\ B_0(U - (i-0)) & i \leq U \leq i+1 \end{cases}$$

# Uniform B Splines

---

Blending functions

$$N_i(U) = \begin{cases} B_3(U - (i-3)) & i-3 \leq U \leq i-2 \\ B_2(U - (i-2)) & i-2 \leq U \leq i-1 \\ B_1(U - (i-1)) & i-1 \leq U \leq i \\ B_0(U - (i-0)) & i \leq U \leq i+1 \end{cases}$$



# Uniform B Splines

---

Curve segments end at uniform intervals

$$u = 0, 1, 2, \dots, n$$

Call these positions **knots**,  
and the vector of knots, the **knot vector**

$$knots = 0, 1, 2, \dots, n$$

$$knotVector = [0, 1, 2, \dots, n]$$

# Nonuniform B Splines

---

Generalize knots to nonuniform intervals

$$\mathit{knotVector} = [t_0, t_1, t_2, \dots, t_n]$$

$$t_0 \leq t_1 \leq t_2 \leq \dots \leq t_n$$

# Nonuniform B Splines

---

Cox de Boor algorithm for blending functions  
for a curve of order  $k$

$$N_{i,1}(u) = \begin{cases} 1 & \text{if } t_i \leq u \leq t_{i+1} \\ 0 & \text{otherwise} \end{cases}$$

$$N_{i,k}(u) = \frac{(u - t_i)N_{i,k-1}(u)}{t_{i+k-1} - t_i} + \frac{(t_{i+k} - u)N_{i+1,k-1}(u)}{t_{i+k} - t_{i+1}}$$

# Properties of Blending Functions

---

Convex Hull property

$$\sum_{i=0}^n N_{i,k}(u) = 1 \quad N_{i,k}(u) \geq 0$$

Finite Support

$$N_{i,k}(u) \neq 0 \quad u \in [t_i, t_{i+k}]$$

Multiple Knots

$$0/0 \equiv 0 \quad \text{Allows multiple knots to be defined}$$

# Control Points and Knots

---

$$Q(u) = \sum_{i=0}^n p_i N_{i,k}(u)$$

$N_{0,k}(u)$  has support  $[t_0, \dots, t_k]$

$N_{n,k}(u)$  has support  $[t_n, \dots, t_{n+k}]$

Therefore, there are:

$n + 1$  control points

$n + k + 1$  knots

*#knots = #control points + order*



# Control Points and Knots

---

$n + 1$  control points

Each curve segment requires  $k$  control points

Therefore, there are:

$n - k + 2$  curve segments

$n - k + 2$  distinct knot intervals

$n - k + 3$  distinct knots

$\#knots - \#distinct\ knots = \#repeated\ knots$

$$(n + k + 1) - (n - k + 3) = 2k - 2$$

# Nonperiodic Knot Vectors

---

Repeat the first and last knots  $k - 1$  more times

$$\left[ \underbrace{0, \dots, 0}_k, t_k, \dots, t_n, \underbrace{1, \dots, 1}_k \right]$$

Knots repeated  $k$  times  
are said to have multiplicity  $k$

The curve endpoints and the end control points  
are coincident if end knots have multiplicity  $k$

$$Q(t_{k-1}) = p_0 \quad Q(t_{n+1}) = p_n$$

# Nonperiodic Knot Vectors

---

Uniform nonperiodic knot vectors

$$t_i = \begin{cases} 0 & i = 0, \dots, k-1 \\ i - k + 1 & i = k, \dots, n-1 \\ n - k + 2 & i = n, \dots, n+k-1 \end{cases}$$

This knot vector is equivalent to the uniform B spline

# NonUniform Rational B Splines

---

Extend control points to homogeneous space

$$Q(u) = \frac{\sum_{i=0}^n p_i w_i N_{i,k}(u)}{\sum_{i=0}^n w_i N_{i,k}(u)} = \sum_{i=0}^n p_i w_i R_{i,k}(u)$$

$$R_{i,k}(u) = \frac{N_{i,k}(u)}{\sum_{j=0}^n w_j N_{j,k}(u)}$$

$w_i$  are called weights

# Knot Insertion

---

Add a new knot without altering the original curve

$$Q(u) = \sum_{i=0}^n p_i N_{i,k}(u) \quad t = [t_0, \dots, t_{n+k}]$$

Adding a new knot  $t'$

$$t_j < t' \leq t_{j+1}$$

Yields the new control points:

$$\begin{aligned} p'_0 &= p_0 \\ p'_{n+1} &= p_n \\ p'_i &= (1 - a_i)p_i + a_i p_{i+1} \end{aligned} \quad a_i = \begin{cases} 1 & i = 1, \dots, j - k \\ \frac{t' - t_i}{t_{i+k} - t_i} & i = j - k + 1, \dots, j \\ 0 & i = j + 1, \dots, n \end{cases}$$

# Arc Length Parameterization

---

Generally, equally spaced samples in parameter space are not equally spaced along the curve

$$u_2 - u_1 \neq s(u_2) - s(u_1)$$

where  $s(u)$  is the arc length of the curve

We can create a parameterization where parametric distance is equal to arc length

$$u_2 - u_1 = s(u_2) - s(u_1)$$

# Interpolation

---

Given a set of data points  $X_i \quad i = 0, \dots, n-2$

find the control points  $p_i \quad i = 0, \dots, n$

such that the curve interpolates the data points

Set the curve breakpoints equal to the data points

$$Q(t_{i+3}) = X_i \quad i = 0, \dots, n-2$$

The system needs 2 additional constraints

$$p_0 = p_1 \quad p_n = p_{n-1}$$

# Interpolation

---

We need to evaluate the blending functions at the knot values

$$N_{i,4}(t_{i+1}) = \frac{(t_{i+1} - t_i)^2}{(t_{i+2} - t_i)(t_{i+3} - t_i)}$$
$$N_{i,4}(t_{i+2}) = \frac{(t_{i+2} - t_i)(t_{i+3} - t_{i+2})}{(t_{i+3} - t_{i+1})(t_{i+3} - t_i)} + \frac{(t_{i+4} - t_{i+2})(t_{i+2} - t_{i+1})}{(t_{i+4} - t_{i+1})(t_{i+3} - t_{i+1})}$$
$$N_{i,4}(t_{i+3}) = \frac{(t_{i+4} - t_{i+3})^2}{(t_{i+4} - t_{i+1})(t_{i+4} - t_{i+2})}$$



# Interpolation

---

We can now write

$$Q(t_i) = N_{i-3,4}(t_i)p_{i-3} + N_{i-2,4}(t_i)p_{i-2} + N_{i-1,4}(t_i)p_{i-1}$$

Equivalently

$$Q(t_{i+3}) = N_{i,4}(t_{i+3})p_i + N_{i+1,4}(t_{i+3})p_{i+1} + N_{i+2,4}(t_{i+3})p_{i+2}$$

Which gives

$$X_i = \alpha_i p_i + \beta_i p_{i+1} + \Gamma_i p_{i+2}$$

$$\alpha_i = N_{i,4}(t_{i+3}) \quad \beta_i = N_{i+1,4}(t_{i+3}) \quad \Gamma_i = N_{i+2,4}(t_{i+3})$$



# Chord Length Parameterization

---

Heuristic approximating arc length parameterization

$$\frac{t_{i+4} - t_{i+3}}{t_{i+5} - t_{i+4}} = \frac{|X_{i+1} - X_i|}{|X_{i+2} - X_{i+1}|}$$

Knot spacing is proportional to the distance between data points